

PCT Rec'd 3 DEC 2001

#3  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 01-1002)

In the Application of:

Baur, et al.

Serial No.: 09/914,400

Int'l Filing Date: February 22, 2000

Priority Date: February 21, 1999

For: Endoscopic Observation Device

Examiner: TBA

Group Art Unit: TBA

RESPONSE TO NOTICE OF MISSING REQUIREMENTS

BOX PCT  
Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the Notice of Missing Requirements mailed October 1, 2001, applicants submit herewith an executed Declaration and Power of Attorney and a translation of the application into English. This Response, due on Saturday, December 1, 2001 is timely filed on Monday, December 3, 2001.

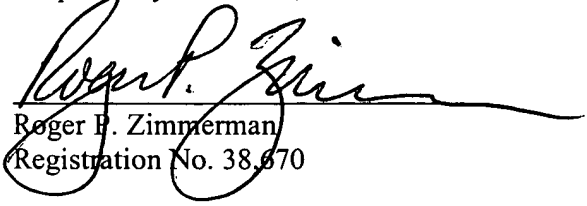
12/17/2001 UEDUVIJE 00000088 09914400

01 FC:156  
02 FC:254

130.00 OP  
65.00 OP

Date: December 3, 2001

Respectfully submitted,

  
Roger P. Zimmerman  
Registration No. 38,670

Telephone: 312-913-0001  
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McDonnell Boehnen Hulbert & Berghoff  
300 South Wacker Drive, 32<sup>nd</sup> Floor  
Chicago, IL 60606

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
(Case No. 01-1002)

<p><b>In the Application of:</b></p> <p style="padding-left: 40px;"><b>Baur, et al.</b></p> <p><b>Serial No.: 09/914,400</b></p> <p><b>Int'l Filing Date: February 22, 2000</b></p> <p><b>Priority Date: February 21, 1999</b></p> <p><b>For: Endoscopic Observation Device</b></p>	<p>)</p> <p>)</p> <p>)</p> <p>)</p> <p>)</p> <p>)</p> <p>)</p> <p>)</p>	<p><b>Examiner: Not Yet Assigned</b></p> <p><b>Group Art Unit: Not Yet Assigned</b></p>
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**TRANSMITTAL LETTER**

BOX PCT  
Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In regard to the above-identified application, we are transmitting herewith the attached:

- a) copy of Notice to File Missing Requirements;
- b) Response to Notice to File Missing Requirements;
- c) Translation of the application into English
- d) Declaration and Power of Attorney;
- e) return receipt postcard.

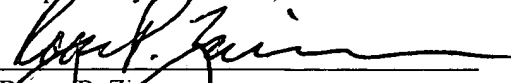
With respect to fees:


- f) A check in the amount of \$195.00 is enclosed.
- g) Please charge any underpayment or credit any overpayment our Deposit Account, No. 13-2490.

**CERTIFICATE OF MAILING:** The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1, are being deposited with the United States Postal Service with sufficient postage as Express Mail (ET184863408US) in an envelope addressed to the Commissioner for Patents, Washington, D.C. 22202 on December 3, 2001.

Date: December 3, 2001

Respectfully submitted,

  
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Roger P. Zimmerman  
Registration No. 88,670

 <small>Communication For Patent, See PCT, United States Patent and Trademark Office, Washington, D.C. 20231, www.uspto.gov</small>		
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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/914,400	Charles Baur	01-1002

INTERNATIONAL APPLICATION NO.
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PCT/CH00/00098

I.A. FILING DATE	PRIORITY DATE
02/22/2000	02/21/1999

Roger P Zimmerman  
Mcdonnell Boehnen Hulbert & Berghoff  
300 South Wacker Drive Suite 3200  
Chicago, IL 60606

CONFIRMATION NO. 4268

371 FORMALITIES LETTER



\*OC000000006797251\*

Date Mailed: 10/01/2001

#### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Small Entity Statement

DOCKETED

OCT 05 2001

DUE DATE: 12/1/01  
BY: KB CB

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Translation of the application into English.
- Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 30 months from the priority date (37 CFR 1.492(f)).
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$65 Surcharge for providing the oath or declaration later than the appropriate 30 months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE**

**DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$195** for a Small Entity:

- **\$65** Late oath or declaration Surcharge.
- **\$130** for English translation surcharge required.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

SHELBY J VIGIL

Telephone: (703) 305-3653

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/914,400	PCT/CH00/00098	01-1002